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PS1 - Q2

Let's denote by S_N the expected number of subarrays of size 2 or less encountered when sorting a random file of size N. If quicksort is called on an array of size N = 0 or N = 1, then it terminates immediately, having encountered 1 subarray of size 2 or less. This gives the base cases $S_0 = 1, S_1 = 1$. If quicksort is called on an array of size 2, then it will have encountered 1 subarray of size 2, and then recursively call quicksort on one subarray of size 0 and one subarray of size 1, giving $S_2 = 3$. For any N > 2, quicksort no longer encounters a subarray of size 2 or less on the initial call, and can be defined recursively as:

$$S_N = \frac{1}{N} \sum_{1 \le j \le N} \left(S_{j-1} + S_{N-j} \right) \quad \text{for } N > 2 \tag{1}$$

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Using the symmetry of the sum, we get:

$$S_N = \frac{2}{N} \sum_{1 \le j \le N} S_{j-1} \qquad \text{for } N > 2 \tag{2}$$

Multiplying by N and subtracting the resulting equation for N-1

$$NS_N - (N-1)S_{N-1} = 2S_{N-1}$$
 for $N > 3$ (3)

Rearranging and dividing by N(N+1) gives:

$$\frac{S_N}{N+1} = \frac{S_{N-1}}{N} \qquad \text{for } N > 3 \tag{4}$$

and iterating gives:

$$\frac{S_N}{N+1} = \frac{S_3}{4} \qquad \text{for } N > 3 \tag{5}$$

Using $S_3 = \frac{2}{3} \sum_{1 \le j \le 3} S_{j-1} = \frac{10}{3}$, we have that:

$$\frac{S_N}{N+1} = \frac{5}{6} \qquad \text{for } N > 3 \tag{6}$$

$$S_N = \frac{5}{6}(N+1)$$
 for $N > 3$ (7)

For N = 3 we have that $\frac{5}{6}(3+1) = \frac{10}{3}$ and so the general relation is:

$$S_N = \frac{5}{6}(N+1)$$
 for $N > 2$ (8)

with the base cases N = 0, 1, 2 defined as above.