

Homework 4: Exercise 5.1

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Let \mathcal{B}_{000} be the class of bit strings, with the size function defined as the number of bits. Let $B(z)$ be its generating function. Let \mathcal{Z}_0 denote a zero bit and \mathcal{Z}_1 a one bit.

This class can be specified as follows

$$\mathcal{B}_{000} = E + \mathcal{Z}_0 + \mathcal{Z}_0 \times \mathcal{Z}_0 + (\mathcal{Z}_1 + \mathcal{Z}_0 \times \mathcal{Z}_1 + \mathcal{Z}_0 \times \mathcal{Z}_0 \times \mathcal{Z}_1) \times \mathcal{B}_{000}$$

The symbolic then gives the following generating function equation

$$B(z) = 1 + z + z^2 + (z + z^2 + z^3)B(z)$$

$$B(z) = \frac{1 + z + z^2}{1 - z - z^2 - z^3}$$

Let $f(z)$ be numerator and $g(z)$ the denominator. Finally, we can apply the rational functions transfer theorem from Lecture 4, produced below, to derive asymptotics.

Theorem 1 Assume that a rational GF $f(z)/g(z)$ with $f(z)/g(z)$ with $f(z)$ and $g(z)$ relatively prime and $g(0) = 0$ has a unique pole $1/\beta$ of smallest modulus and that the multiplicity of β is ν . Then,

$$[z^n] \frac{f(z)}{g(z)} \sim C \beta^n n^{\nu-1} \text{ where } C = \nu \frac{(-\beta)^\nu f(1/\beta)}{g^{(\nu)}(1/\beta)}$$

We can easily check that f and g are relatively prime, since -1 is a root for f but not g . The roots of g and the corresponding moduli can be computed using a calculator:

$$\begin{array}{ll} z_1 \approx 0.54369, & |z_1| \approx 0.54369 \\ z_2 \approx -0.77184 - 1.11514i, & |z_2| \approx 1.3562 \\ z_3 \approx -0.77184 + 1.11514i, & |z_3| \approx 1.3562 \end{array}$$

Therefore, there is a unique pole of smallest modulus $1/\beta \approx 0.54369 \implies \beta \approx 1.83928$, with multiplicity $\nu = 1$. Therefore, C can be computed as

$$\begin{aligned} C &= \nu \frac{(-\beta)^\nu f(1/\beta)}{g^{(\nu)}(1/\beta)} = \frac{-\beta f(1/\beta)}{g'(1/\beta)} = \frac{-\beta(1 + 1/\beta + (1/\beta)^2)}{-1 - 2(1/\beta) - 3(1/\beta)^2} \\ &= \frac{-1.83928(1 + 1/1.83928 + 1/1.83928^2)}{-1 - 2(1/1.83928) - 3(1/1.83928)^2} \approx 1.13745. \end{aligned}$$

The theorem thus gives

$$[z^n] B(z) = [z^n] \frac{f(z)}{g(z)} \sim 1.13745(1.83928)^n.$$